

The seL4 Foundation Fund Charter

The Linux Foundation
Effective 2021-04-13

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1 Mission and scope of the seL4 Foundation Fund

- (a) The purpose of the seL4 Foundation Fund (the **Directed Fund**) is to raise, budget and spend funds in support of the seL4 technical project carried out by seL4 Series, LLC, which is a Series of LF Projects, LLC (the **Technical Project**), in accordance with the provisions of this Charter. The governance of the Technical Project is as set out in the charter for the Technical Project (the **Technical Charter**).
- (b) The Directed Fund is managed by the Governing Board of the Directed Fund (the **seL4 Board**) and operates under the guidance of The Linux Foundation (the **LF**) as may be consistent with the LF's tax-exempt status.

2 Membership

- (a) The Directed Fund will be composed of Premium, General and Associate Members in good standing (each, a **Member** and, collectively, the **Members**). The LF's Good Standing Policy is available at <https://www.linuxfoundation.org/good-standing-policy> and will apply to Members of the Directed Fund.
- (b) All Members **enjoy the privileges and undertake the obligations described in**, and must comply with, **this Charter, as from time to time amended by the seL4 Board with the approval of the LF**. Members will be entitled to:
 - (i) participate in Directed Fund general meetings, initiatives, events and any other activities; and
 - (ii) **identify themselves as members of the seL4 Foundation Fund supporting the seL4 project community**, but subject always to any trade mark or service mark policies and rules established by the seL4 Board.
- (c) **All Members must be current corporate members of the LF (at any level) to participate in the Directed Fund as a member.** The Associate Member category of membership is limited to Associate Members of the LF. The seL4 Board may set additional criteria for joining the Directed Fund as an Associate Member.
- (d) During the term of their membership, all Members must comply with all such policies in relation to the Directed Fund and their membership as the seL4 Board and/or LF Board of Directors may adopt with notice to Members.
- (e) In recognition of their significant in-kind contributions to the seL4 technology:
 - (i) **The University of New South Wales ("UNSW") will receive a membership at the Premium Member level, free of charge, for a five-year term, renewable for successive five-year terms by a simple majority vote of the seL4 Board.**
- (f) If a Member is itself a foundation, association, consortium, open source project, membership organisation, user group or other entity that has members or sponsors, then the rights and privileges granted to that Member will extend only to the employees of that Member, and not to its members or sponsors, unless specifically approved by the seL4

Deleted: <#>The Commonwealth Scientific and Industrial Research Organisation through the Trustworthy Systems Research Group of its Data61 business, or any successor research group (**Trustworthy Systems Research Group**) will receive, at the inception of the Directed Fund, a membership at the Premium Member level, free of charge, for a five-year term, renewable for successive five-year terms by a simple majority vote of the seL4 Board. ¶

Board.

- (g) Directed Fund Membership is non-transferable, non-salable and non-assignable, except a Member may transfer its current Membership benefits and obligations to a successor of substantially all its business or assets, whether by merger, sale or otherwise; provided that the transferee agrees to be bound by this Charter and the Bylaws and policies required by LF membership.

3 seL4 Board

(a) **Composition:**

From the first annual general meeting of the Directed Fund, the seL4 Board will consist of the following voting members (**Board Members**):

- (i) For five years from the creation of the seL4 Foundation, the Founders of the seL4 technology, will continue to be represented by June Andronick, Gerwin Klein and Gernot Heiser. These terms may be extended for successive five-year terms by a simple majority vote of the seL4 Board.
- (ii) Each Premium Member, so long as they do not have an employee on the Board by operation of (i) above, is entitled to appoint 1 voting member to the seL4 Board.
- (iii) Each General Member is entitled to nominate a candidate for election to represent General Members on the seL4 Board. General Members, acting as a class, will then elect 1 voting member to the seL4 Board at each annual general meeting. The seL4 Board determines the election process.
- (iv) The chair of the technical steering committee of the Technical Project (the **TSC Chair**) will be a voting member on the seL4 Board to represent the views of the technical steering committee and the Technical Project, if not separately appointed under any of the preceding sections.

Associate Members are not entitled to appoint **representatives** to the seL4 Board, and will not be represented as a class.

(b) **Conduct of meetings:**

- (i) Unless all Board Members agree otherwise, they must receive at least 5 business days' written notice of a meeting.
- (ii) seL4 Board meetings **will be** private (that is, **limited to the Board Members, invited guests, and LF staff**) unless decided otherwise by the seL4 Board. The seL4 Board **may decide whether to allow** any Board Member to nominate **an alternate** person to attend in their place for a specific meeting of the seL4 Board or any Committee. The seL4 Board may invite (non-voting) guests to participate in the consideration of specific topics. The meetings may be conducted electronically, via teleconference, or in person at the discretion of the seL4 Board.

(c) **Quorum and voting:**

- (i) Quorum for seL4 Board meetings requires the presence of at least 50% of the Board Members. If notice of the meeting has been given in accordance with section 3(b)(i), the seL4 Board may continue to meet even if quorum is not met, but may not make any decisions at the meeting.

Deleted: <#>Initial Board:

From the establishment of the Directed Fund to the first annual general meeting of the Directed Fund (to be held in accordance with section 4(a)(ii)), the seL4 Board will consist of the following voting members (**Board**

Members):

The Founders of the seL4 technology, represented by June Andronick, Gerwin Klein of CSIRO and Gernot Heiser of UNSW.

Independent members John Launchbury of Galois Inc, Daniel Potts of Ghost Locomotion Inc and Sascha Kegreiß of HENSOLDT Cyber GmbH.

Each Premium Member, so long as they do not have an employee on the Board by operation of 3.a.i. or 3.a.ii. above, is entitled to appoint 1 voting member to the seL4 Board.

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- (ii) Each Board Member has 1 vote.
 - (iii) While the seL4 Board will strive to make decisions based on consensus, except where otherwise required in this Charter, decisions of the seL4 Board will be passed by a simple majority vote at a meeting provided quorum is met. The seL4 Board may pass decisions without a meeting if each Board Member signs a document stating that they are in favour of the decision set out in the document.
 - (iv) In the event of a tied vote with respect to an action that cannot be resolved by the seL4 Board, the Chair may refer the matter to the LF for assistance in reaching a decision. If there is a tied vote in any Committee that cannot be resolved, the matter may be referred to the seL4 Board.
- (d) **Officers:**
- (i) The seL4 Board will appoint by majority decision the following officers (**Officers**) at its first meeting: the Chairperson (**Chair**) and the Treasurer of the Directed Fund (**Treasurer**). Additional Officer positions may be created by the seL4 Board.
 - (ii) The Chair will preside over meetings of the seL4 Board, manage any day-to-day operational decisions, and will submit minutes for seL4 Board approval.
 - (iii) The Treasurer will assist in the preparation of budgets for seL4 Board approval, monitor expenses against the budget, and authorise expenditures approved in the budget.
- (e) **Responsibilities:**
- The seL4 Board is responsible for overall management of the Directed Fund, including:
- (i) raising funds for specific projects in support of the Technical Project;
 - (ii) approving a budget directing the use of funds raised by the Directed Fund from all sources of revenue;
 - (iii) nominating and electing Officers of the Directed Fund;
 - (iv) overseeing all seL4 Directed Fund business and community outreach matters, and working with the LF on any legal matters that arise;
 - (v) adopting **and maintaining policies or rules and procedures for the Directed Fund (subject to the LF approval)**, including any procedures for the nomination and election of any member to the seL4 Board;
 - (vi) establishing any Committees to support the Directed Fund and/or Technical Project;
 - (vii) establishing any conformance programs from the Technical Project (including in relation to verification), and approving any recommendations from the TSC for policies and processes for contributions;
 - (viii) establishing policies and rules in relation to the use of any trade or service marks used by or in relation to the Technical Project, whether registered rights or based on common law;
 - (ix) publishing use cases, user stories, websites and priorities to help inform the ecosystem and technical community; and
 - (x) voting on all decisions or matters coming before the seL4 Board.

The Directed Fund will also have such working groups, councils, committees and similar bodies (collectively, **Committees**) as are established by the seL4 Board. These Committees report to the seL4 Board. Sections **3(b)** and **3(c)** apply with the necessary changes to meetings of any Committee.

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4 Directed Fund rules and operation

- (a) The seL4 Board must:
 - (i) ensure that the activities funded by the Directed Fund engage in the work of the Technical Project in a professional manner consistent with maintaining a cohesive community, while also maintaining the goodwill and esteem of the LF in the open source community;
 - (ii) convene an annual general meeting of the Members of the Directed Fund within 12 months after its establishment, or within 3 months after the date the Directed Fund first has 20 Premium and General Members, whichever occurs first, and thereafter once in each calendar year and within 5 months after the end of the financial year. At least 20 business days' written notice of a meeting must be given to all Members. The seL4 Board otherwise determines the rules for any general meeting;
 - (iii) respect the rights of all trademark owners, including any branding and usage guidelines;
 - (iv) engage or coordinate with the LF on all outreach, website and marketing activities regarding the Directed Fund or on behalf of the Technical Project that invoke or associate the name of the Technical Project or the LF; and
 - (v) operate under such rules and procedures as may be approved by the LF.
- (b) The seL4 Board must never commit to spend in excess of funds raised by the Directed Fund. The budget and the purposes to which the Directed Fund is applied must be consistent with the non-profit and tax-exempt mission of the LF, and the goals of the Technical Project.
- (c) The LF will provide the seL4 Board with regular reports of spend levels against the budget. Under no circumstances will the LF have any expectation or obligation to undertake an action on behalf of the Directed Fund or otherwise related to the Directed Fund that is not covered in full by funds raised by the Directed Fund.
- (d) In the event an unbudgeted or otherwise unfunded obligation arises related to the Directed Fund, the LF will coordinate with the seL4 Board to address gap funding requirements.
- (e) The LF will have custody of and final authority over the usage of any fees, funds and other cash receipts raised by the Directed Fund.
- (f) A General & Administrative (**G&A**) fee will be applied by the LF to any funds raised by the Directed Fund to cover finance, accounting, and operations contributions made by the LF. The G&A fee will be 9% of the Directed Fund's first \$1,000,000 of gross receipts each year and 6% of the Directed Fund's gross receipts each year over \$1,000,000.

5 Subsidiaries and Related Companies

- (a) Definitions:
 - (i) **Subsidiaries** means any entity in which a Member owns, directly or indirectly, more than fifty percent of the voting securities or membership interests of the entity in

question.

- (ii) **Related Company** means any entity which controls or is controlled by a Member or which, together with a Member, is under the common control of a third party, in each case where such control results from ownership, either directly or indirectly, of more than fifty percent of the voting securities or membership interests of the entity in question, and **Related Companies** are entities that are each a Related Company of a Member.
- (b) Only the legal entity which has become a Member, and its Subsidiaries, will be entitled to enjoy the rights and privileges of such Membership; provided, however, that such Member and its Subsidiaries will be treated together as a single Member.
- (c) Only one Member that is part of a group of Related Companies may appoint, nominate and/or elect any Board Member. No single Member, company, or set of Related Companies will be entitled to appoint, nominate and/or elect more than 1 Board Member, except under section 3(a)(i), or where the TSC Chair is an employee of that Member, company, or set of Related Companies and becomes a voting member in accordance with section 3(a)(iv).

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6 General Member obligations

- (a) Any trademarks relating to the Directed Fund or the Technical Project, including without limitation any mark relating to any conformance program, must be transferred to and held by seL4 Series, LLC, and must be available for use pursuant to LF Projects, LLC's trademark usage policy, available at www.lfprojects.org/trademarks/ and in accordance with any policies and rules in relation to the use of any seL4 trademarks established by the seL4 Board.
- (b) All Members must abide by the LF's Antitrust Policy available at <http://www.linuxfoundation.org/antitrust-policy>.
- (c) All Members must encourage open participation from any organisation able to meet the membership requirements, regardless of competitive interests. The seL4 Board will not seek to exclude any member based on any criteria, requirements or reasons other than those that are reasonable and applied on a non-discriminatory basis to all Members.

7 Amendments

- (a) This Charter may be amended by a two-thirds vote of the seL4 Board, subject to approval by the LF.